

HAZARDOUS WASTE/SOLID WASTE PERMIT APPLICATION  
DISCLOSURE FORM  
STATE OF MISSISSIPPI

Under the authority of Mississippi Code Annotated Section 17-17-501, et seq. (Supp. 1994) and the Mississippi Commission on Environmental Quality regulations promulgated thereunder, each applicant (other than a public agency) for the issuance, reissuance or transfer of a permit for the treatment, processing, storage or disposal of solid waste at a commercial hazardous or nonhazardous solid waste management facility, must file a disclosure statement with the Permit Board at the time the application is filed. If the applicant is a public agency and proposes to operate a facility by contract with an individual or a business concern, such individual or business concern must file a disclosure statement, and for purposes of doing so, shall complete this form as if it is the "applicant".

Instructions for completing this form:

1. All information must be typed.
2. Do not leave any sections blank. If a section is not applicable, or if there is no information to be provided as called for, type "Not Applicable", "N/A" or "NONE", as appropriate, on the first blank line of the section.
3. Where individual names are requested, provide full names, not initials. Where an individual's full name includes one or more initials, indicate this in a note to the side of the name.
4. Attach additional pages as necessary. Where a section includes a note to provide a separate page for each name, copies of that page may be made.
5. Race and Gender are requested only to confirm identification of disclosed individuals.

**SECTION 1. GENERAL INFORMATION**

\_\_\_\_\_  
Applicant's full name

\_\_\_\_\_  
Business address: street or box number

\_\_\_\_\_  
City, state, zip

\_\_\_\_\_  
Business telephone number

Applicant is [check one]:      an individual  
   a business concern

If the applicant is an individual, provide the following information:

\_\_\_\_\_  
Applicant's date of birth

\_\_\_\_\_  
Race

\_\_\_\_\_  
Social Security number

\_\_\_\_\_  
Gender

If the applicant is a business concern, provide the following information:

\_\_\_\_\_  
Date of establishment

\_\_\_\_\_  
Federal employer identification number

Is the applicant business concern a publicly traded corporation?

Yes                       No

Are there any environmental violations for applicant listed in Section 7.1 of this form?

Yes                       No

Are there any criminal violations for applicant listed in Section 7.2 of this form?

Yes                       No

**SECTION 2. OFFICERS, DIRECTORS, PARTNERS AND KEY EMPLOYEES OF APPLICANT**

2.1 For each officer, director, partner or key employee of the applicant, provide the following information:

**(Note: Provide a separate page for each person).**

\_\_\_\_\_  
Full Name

\_\_\_\_\_  
Business address: street or box number

\_\_\_\_\_  
City, state, zip

\_\_\_\_\_  
Date of birth

\_\_\_\_\_  
Social security number

\_\_\_\_\_  
Race

\_\_\_\_\_  
Gender

\_\_\_\_\_  
Business telephone number

\_\_\_\_\_  
Job title or description

Are there any environmental violations for disclosed officer, director, partner or key employee listed in Section 7.1 of this form?

Yes                       No

Are there any criminal violations for disclosed officer, director, partner or key employee listed in Section 7.2 of this form?

Yes                       No

**SECTION 3. SUBSIDIARIES OF APPLICANT**

3.1 For each business concern that collects, transports, treats, processes, stores or disposes of nonhazardous solid waste or hazardous waste which the applicant holds an equity interest of five percent (5%) or more, provide the following information: **(Note: Provide a separate page for each business concern).**

\_\_\_\_\_  
Business concern's name

\_\_\_\_\_  
Business address: street or box number

\_\_\_\_\_  
City, state, zip

\_\_\_\_\_  
Date of establishment

\_\_\_\_\_  
Federal employer identification number

\_\_\_\_\_  
Business telephone number

The business named above [check all that are appropriate]:

- collects     nonhazardous waste     hazardous waste
- transports     nonhazardous waste     hazardous waste
- treats     nonhazardous waste     hazardous waste
- processes     nonhazardous waste     hazardous waste
- stores     nonhazardous waste     hazardous waste
- disposes     nonhazardous waste     hazardous waste

Are there any environmental violations for disclosed subsidiary of applicant listed in Section 7.1 of this form?

- Yes                       No

Are there any criminal violations for disclosed subsidiary of applicant listed in Section 7.2 of this form?

- Yes                       No

**SECTION 4. EQUITY OR DEBT LIABILITY HOLDERS**

**\* (Note: Section 4 applies to the equity and debt liability holders of the applicant business concern and any other disclosed business concerns unless expressly noted).**

4.1 For each business concern disclosed in this statement (including each business concern disclosed in this section) that is not a publicly traded corporation, provide the following information for each person, other than a business concern, holding any equity in such disclosed business concern:

**(Note: Provide a separate page for each person).**

\_\_\_\_\_  
Full Name

\_\_\_\_\_  
Business address: street or box number

\_\_\_\_\_  
City, state, zip

\_\_\_\_\_  
Date of birth

\_\_\_\_\_  
Social security number

\_\_\_\_\_  
Race

\_\_\_\_\_  
Gender

\_\_\_\_\_  
Business telephone number

\_\_\_\_\_  
Name of disclosed business concern in which this person holds interest

\_\_\_\_\_  
% of equity

Are there any environmental violations for disclosed person listed in Section 7.1 of this form?  
 Yes                       No

Are there any criminal violations for disclosed person listed in Section 7.2 of this form?  
 Yes                       No

4.2 For each business concern disclosed in this statement (including each business concern disclosed in this section) that is not a publicly traded corporation, provide the following information for any other business concern (other than a chartered lending institution or an investment company which is publicly traded) holding any equity in such disclosed business concern:

**(Note: Provide a separate page for each business concern).**

\_\_\_\_\_  
Business concern's name (Equity or debt liability holder)

\_\_\_\_\_  
Business address: street or box number

\_\_\_\_\_  
City, state, zip

\_\_\_\_\_  
Date of establishment

\_\_\_\_\_  
Federal employer identification number

\_\_\_\_\_  
Business telephone number

\_\_\_\_\_  
Name of disclosed business concern in which the above business concern holds interest

\_\_\_\_\_  
% of equity

Are there any environmental violations for disclosed business concern listed in Section 7.1 of this form?

Yes                       No

Are there any criminal violations for disclosed business concern listed in Section 7.2 of this form?

Yes                       No

- 4.3 For each business concern disclosed in this statement (including each business concern disclosed in this section) that is not a publicly traded corporation, provide the following information for each chartered lending institution or investment company which is publicly traded holding any equity in such disclosed business concern:  
**(Note: Provide a separate page for each chartered lending institution or investment company which is publicly traded).**

---

Chartered lending institution's name or name of investment company which is publicly traded  
**(Note: The listed chartered lending institution or investment company which is publicly traded is not subject to further disclosure requirements unless additional information is expressly requested).**

---

Business address: street or box number

---

City, state, zip

---

Business telephone number

---

Name of disclosed business concern in which this chartered lending institution or investment company which is publicly traded holds interest

---

% of equity

4.4 For each business concern disclosed in this statement (including each business concern disclosed in this section) that is not a publicly traded corporation, list the following information for each individual or business concern holding any debt liability in such business concern:

**(Note: Provide a separate page for each individual or business concern holding any debt liability in such business concern).**

---

Full Name (**\*Note: The listed individual or business concern is not subject to further disclosure requirements unless additional information is expressly requested.**)

---

Business address: street or box number

---

City, state, zip

---

Federal employer identification number (if applicable)

---

Name of disclosed business concern in which the above individual or business concern holds debt liability

---

Amount of debt liability held in U.S. Dollars

---

% of total debt liability held

4.5 For each business concern disclosed in this statement (including each business concern disclosed in this section) that is a publicly traded corporation, provide the following information for individuals related within the third degree holding a cumulative of five percent (5%) and any other person(s), other than a business concern, holding more than five percent (5%) of the equity in such disclosed publicly traded corporation:  
**(Note: Provide a separate page for each person).**

\_\_\_\_\_  
Full Name

\_\_\_\_\_  
Business address: street or box number

\_\_\_\_\_  
City, state, zip

\_\_\_\_\_  
Date of birth

\_\_\_\_\_  
Social security number

\_\_\_\_\_  
Race

\_\_\_\_\_  
Gender

\_\_\_\_\_  
Business telephone number

\_\_\_\_\_  
Name of disclosed corporation in which this person holds interest

\_\_\_\_\_  
% of equity

Are there any environmental violations for disclosed person listed in Section 7.1 of this form?

Yes                       No

Are there any criminal violations for disclosed person listed in Section 7.2 of this form?

Yes                       No

4.6 For each business concern disclosed in this statement (including each business concern disclosed in this section) that is a publicly traded corporation, provide the following information for any other business concern (other than a chartered lending institution or an investment company which is publicly traded) holding more than five percent (5%) of the equity in such disclosed publicly traded corporation:  
**(Note: Provide a separate page for each business concern)**

---

Business concern's name (Equity holder)

---

Business address: street or box number

---

City, state, zip

---

Date of establishment

---

Federal employer identification number

---

Business telephone number

---

Name of disclosed corporation in which this business concern holds interest

---

% of equity

Are there any environmental violations for disclosed business concern listed in Section 7.1 of this form?

Yes                       No

Are there any criminal violations for disclosed business concern listed in Section 7.2 of this form?

Yes                       No

4.7 For each business concern disclosed in this statement (including each business concern disclosed in this section) that is a publicly traded corporation, provide the following information for each chartered lending institution or investment company which is publicly traded holding more than five percent (5%) of the equity in such disclosed publicly traded corporation:

**(Note: Provide a separate page for each chartered lending institution or investment company which is publicly traded).**

---

Chartered lending institution's name or name of investment company which is publicly traded **(Note: The listed chartered lending institution or investment company which is publicly traded is not subject to further disclosure requirements unless additional information is expressly requested).**

---

Business address: street or box number

---

City, state, zip

---

Business telephone number

---

Name of disclosed corporation in which this chartered lending institution or investment company which is publicly traded holds interest

---

% of equity

- 4.8 For each business concern disclosed in this statement (including each business concern disclosed in this section) that is a publicly traded corporation, list the following information for each individual or business concern holding more than five percent (5%), or individuals related within the third degree holding a cumulative of five percent (5%) or more debt liability in such disclosed publicly traded corporation:  
**(Note: Provide a separate page for each individual or business concern).**

---

Full Name (**\*Note: The listed individual or business concern is not subject to further disclosure requirements unless additional information is expressly requested**).

---

Business address: street or box number

---

City, state, zip

---

Federal employer identification number (if applicable)

---

Name of disclosed publicly traded corporation in which the above individual or business concern holds debt liability

---

Amount of debt liability held in U.S. Dollars

---

% of total debt liability held

4.9 For each business concern disclosed in this statement (other than the applicant, a chartered lending institution, investment company which is publicly traded or a debt liability holder), provide the following information for each officer, director or partner: **(Note: Provide a separate page for each officer, director or partner).**

\_\_\_\_\_  
Business Concern's Name

\_\_\_\_\_  
Full Name of officer, director or partner

\_\_\_\_\_  
Business address: street or box number

\_\_\_\_\_  
City, state, zip

\_\_\_\_\_  
Date of birth

\_\_\_\_\_  
Social security number

\_\_\_\_\_  
Race

\_\_\_\_\_  
Gender

\_\_\_\_\_  
Business telephone number

\_\_\_\_\_  
Job title or description

Are there any environmental violations for disclosed officer, director or partner listed in Section 7.1 of this form?

Yes                       No

Are there any criminal violations for disclosed officer, director or partner listed in Section 7.2 of this form?

Yes                       No



5.2 Provide the following information for any past or present permits or licenses possessed by the applicant, or any officer, director, partner or key employee of the applicant, for the treatment, processing, storage or disposal of nonhazardous solid waste or hazardous waste:

**(Note: Provide a separate page for each permit or license).**

---

Name of Permittee or Licensee

---

Permit or License

---

Permit/License number (if any)

---

Issuance date

---

Expiration date (if any)

---

Name of regulatory authority

---

Address of regulatory authority: street or box number

---

City, state, zip

---

Regulatory authority telephone number

5.3 Provide the following information for any other agency outside of Mississippi not listed in Section 5.2 that has or has had regulatory responsibility over the applicant and any parent, subsidiary and sister business concern disclosed in this disclosure statement (except those listed in Section 6.3) regarding the treatment, processing, storage or disposal of nonhazardous solid waste or hazardous waste within the five-year period immediately preceding the filing of the application:

**(Note: Provide a separate page for each regulatory agency).**

\_\_\_\_\_  
Name of regulatory authority

\_\_\_\_\_  
Regulatory authority address: street or box number

\_\_\_\_\_  
City, state, zip

\_\_\_\_\_  
Regulatory authority telephone number

Name(s) of disclosed persons regulated by the above authority:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

## SECTION 6. SISTER BUSINESS CONCERNS

- 6.1 (This sub-section is applicable only if the applicant is seeking a permit to operate and/or construct a commercial nonhazardous solid waste management facility.)

If either the applicant or a parent business concern has engaged in the commercial treating, processing, storage or disposal of nonhazardous solid waste in Mississippi for fewer than five (5) years preceding the filing of the application, provide the following information about each sister business concern of the applicant that has engaged in the commercial treating, processing, storage or disposal of nonhazardous solid waste or hazardous waste within such five-year period:

**(Note: Provide a separate page for each sister business concern).**

---

Full name of sister business concern

---

Business address: street or box number

---

City, state, zip

---

Date of establishment

---

Federal employer identification number

---

Business telephone number

Are there any environmental violations for disclosed sister business concern listed in Section 7.1 of this form?

Yes                       No

Are there any criminal violations for disclosed sister business concern listed in Section 7.2 of this form?

Yes                       No

6.2 (This sub-section is applicable only if the applicant is seeking a permit to operate and/or construct a commercial hazardous waste management facility.)

If either the applicant or a parent business concern has engaged in the commercial treating, processing, storage or disposal of hazardous waste in Mississippi for fewer than five (5) years preceding the filing of the application, provide the following information about each sister business concern of the applicant that has engaged in the commercial treating, processing, storage or disposal of nonhazardous solid waste or hazardous waste within such five-year period:

**(Note: Provide a separate page for each sister business concern).**

---

Full name of sister business concern

---

Business address: street or box number

---

City, state, zip

---

Date of establishment

---

Federal employer identification number

---

Business telephone number

Are there any environmental violations for disclosed sister business concern listed in Section 7.1 of this form?

Yes                       No

Are there any criminal violations for disclosed sister business concern listed in Section 7.2 of this form?

Yes                       No

6.3 If neither the applicant nor a parent business concern nor any sister business concern of the applicant has engaged in the commercial treating, processing, storage or disposal of nonhazardous solid waste or hazardous waste within the five-year period preceding the filing of the application, provide the following information about each sister business concern of the applicant that, within such five-year period, has been the subject of any environmental enforcement actions:

**(Note: Provide a separate page for each sister business concern).**

\_\_\_\_\_  
Full name of sister business concern

\_\_\_\_\_  
Business address: street or box number

\_\_\_\_\_  
City, state, zip

\_\_\_\_\_  
Date of establishment

\_\_\_\_\_  
Federal employer identification number

\_\_\_\_\_  
Business telephone number

List and explain each environmental enforcement action and the name and address of the regulatory agency involved in each action.

=====

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Are there any criminal violations for disclosed sister business concern listed in Section 7.2 of this form?

Yes                       No







**SECTION 9. CERTIFICATION AND SIGNATURE**

I certify that the information provided in this disclosure statement is a true and correct representation of that which is requested.

EXECUTED, this the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed Name (as signed)

\_\_\_\_\_  
Title

\_\_\_\_\_  
Applicant

\_\_\_\_\_  
Business Address: street or box  
number

\_\_\_\_\_  
City, state, zip

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

PERSONALLY APPEARED BEFORE ME, the undersigned authority in and for the jurisdiction aforesaid, the within named \_\_\_\_\_, who, after being by me first duly sworn, stated on oath that all of the matters and facts set forth in this disclosure statement are true and correct as herein stated.

SWORN TO AND SUBSCRIBED BEFORE ME, this the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Notary Public

My Commission Expires:  
\_\_\_\_\_